

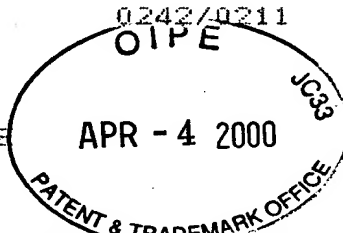


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/476,385         | 12/30/99            | TSUEI                 | H 05042-0170              |

ADAM AVRUNIN ESQ  
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2400 MONARCH TOWER  
3424 PEACHTREE ROAD NE  
ATLANTIC GA 30326



DATE MAILED:

2768

02/11/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 1018.00.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 690.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 198.00 for 11 total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unsigned.

☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

04/06/2000 CV0111 00000012 09476385

02 FB:105

03 FC:103

630.00

198.00

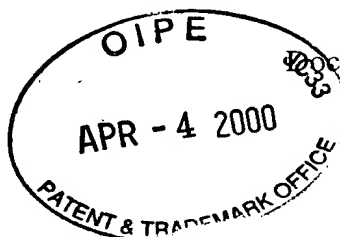
U.S. GPO 1999 450-5875

## IN THE U.S. PATENT AND TRADEMARK OFFICE

In re Application of:  
**Dan A. German et al.**

Serial No. **09/476,385**

Filed: **December 30, 1999**



ocket No. 06042-0170

For: **METHOD AND SYSTEM FOR PAYMENT TRANSACTIONS AND SHIPMENT TRACKING OVER THE INTERNET**

Assistant Commissioner for Patents  
 Washington, D.C. 20231  
 Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS – FILING DATE GRANTED**

Transmitted herewith are papers in the above-identified application.

- ☒ Duplicate copy of Form PTO-1533-Notice to File Missing Parts of Application – Filing Date Granted.
- ☒ An executed Declaration and Power of Attorney (2 sheets).
- ☒ PTO-1595, executed Assignment from the Applicants to First Data Corporation and a check in the amount of \$40.00 for the recordation fee.
- ☒ The basic filing fee and surcharge for late filing of these parts is calculated below..

| SMALL ENTITY  |           |           |        | OTHER THAN SMALL ENTITY |              |                |
|---|-----------|-----------|--------|-------------------------|--------------|----------------|
| FOR:  | NO. FILED | NO. EXTRA | RATE   |                         | FEE          | RATE FEE       |
| BASIC FEE   |           |           | \$345  |                         | \$690        | \$690          |
| TOTAL CLAIMS  | 31 - 20 = | 11        | x9 =   |                         | x18 =        | \$198          |
| INDEP. CLAIMS   | 3 - 3 =   | 0         | x39 =  |                         | x78 =        |                |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED                   |           |           | +130 = |                         | +260 =       |                |
| <input checked="" type="checkbox"/> LATE-FILING SURCHARGE                     |           |           | +65 =  |                         | +130 =       | \$130          |
| <input type="checkbox"/> FOREIGN LANGUAGE SURCHARGE                           |           |           | +130 = |                         | +130 =       |                |
| <input checked="" type="checkbox"/> OTHER (Petition for 3-Month Ext. of Time) |           |           | +435 = |                         |              |                |
| <b>TOTAL</b>  |           |           |        |                         | <b>TOTAL</b> | <b>\$1,018</b> |

- ☒ A check in the amount of **\$1,018.00** is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16, or credit any overpayment, to Account No. 10-1215. A duplicate copy of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on March 31, 2000.

Adam Avrunin  
 Adam Avrunin—Reg. No. 45,457

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